American Recovery and Reinvestment Act ("ARRA")
Reporting on Sponsored Projects
Overview

- Sources of ARRA funding
- Brief overview of Quarterly Reporting Process
- Discuss information that is required from the Principal Investigator (PI)
- U of M preparedness
ARRA Funding is Available Through Three Separate Sources

“Bucket” 1
Grants and Contracts submitted through SPA

“Bucket” 2
Awards made through the State Fiscal Stabilization Fund

“Bucket” 3
Everything Else
Regulation

• Unprecedented reporting requirements
  – Identified reporting elements we are familiar with
  – Concentrated efforts on reporting elements that are new or different
    • Jobs Created/Jobs Saved & Project Description
    • Programmatic percent complete
    • Demographic information on certain Vendors
  – Ten days to complete the report
Quarterly Reporting Timeline

Finalize universe of awards; pull payroll; pull expenses; finalize data from subrecipients & vendors, track down data on awards in last 15 days of the quarter; compile data; re-key into spreadsheets; perform data quality reviews, upload award-by-award to feds.

Review subrecipient data; second data quality review.

Data made public.

ARRA Award

Issue PI and Subrecipient Surveys

Since 2/17/09 → ~9/15/09

Qtr Ends

9/30/09

10 day window

DATA DUE

Follow up on PI & Subrecipient Surveys

10/5/09

10/10/09

10/20/09

10/30/09

Subaward Data Due to Others

& Agency Review

Agency Review

Since 2/17/09 → 9/30/09

Since 2/17/09 → 9/30/09
Challenges for Principal Investigators

• Treat ARRA award differently
  – Expect scrutiny & audit of these high profile awards
  – Keep ARRA expenditures closely aligned with agency-approved budgets and work plans
  – Ensure justifications are well documented
  – Spend the funds timely
  – Promptly fulfill all special and routine reporting obligations

• Quick response for reporting information
  – Jobs Created/Jobs Saved
Special Compliance Requirements

- Whistleblower
- HIPPA
- Subrecipient Monitoring & Reporting
- Construction & facility awards
  - Buy American
  - Prevailing wage requirements

Expect lots of Auditors!
Partnerships in ARRA Readiness

- Council on Government Relations (COGR)
  - Requested OMB clarifications
  - Distributing updates to community

- Federal Demonstration Partnership (FDP)
  - ARRA web site
  - National ARRA listserv
  - Partner with COGR to create templates & job aids for community to use

- Big 10 Research Administrators
U of M ARRA Readiness

• ARRA Working Group
  – President's ARRA Committee
  – OVPR & Federal Relations
  – SPA & SFR
  – SFR ARRA Reporting Group

• Website
• Communications
• Information sessions
What UMN Has Done to Respond (Sponsored Research)

<table>
<thead>
<tr>
<th>Created UMN ARRA web site</th>
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<tbody>
<tr>
<td>Led campus training for faculty and administrators</td>
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<tr>
<td>Created three listservs</td>
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<tr>
<td>Designed ARRA coding</td>
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<tr>
<td>Created PI reference document</td>
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<tr>
<td>tightened links to IRB/IACUC</td>
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<tr>
<td>Designed and built quarterly reporting method</td>
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<tr>
<td>Participated in national dialogue</td>
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<tr>
<td>Created quarterly surveys</td>
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<table>
<thead>
<tr>
<th>Triaged funding opportunities</th>
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<tbody>
<tr>
<td>Published master proposal deadline calendar</td>
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<tr>
<td>Created simplified proposal templates</td>
</tr>
<tr>
<td>Coordinated limited submissions</td>
</tr>
<tr>
<td>Wrote boilerplate for “Jobs Created”</td>
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<tr>
<td>Coped with Grants.Gov</td>
</tr>
<tr>
<td>Coped with other agency electronic proposal systems</td>
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Contact Info

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