Hot Topics
GMUN Meeting
May 20, 2010

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Sponsored Projects Administration
Furlough & Salary Reduction Information

• Applies to all fund sources (including sponsored)
• Sponsored FAQs at: http://www.ospa.umn.edu/announcements/Furlough15Mar10.htm
• Forthcoming:
  – In FY11, expect to use recurring institutional base salary in multi-year competitive proposals with explanatory budget justification provided by SPA
Policy Status

• Updated F&A Waiver Policy
  – Completed all internal reviews
  – Expect to see implementation memo this month

• New Summer Effort Policy
  – Completed all internal reviews
  – Pamela meeting with FCC on Thursday
  – Expect to see decision for this summer shortly thereafter
ARRA Reminders

• ARRA Supplements
  – Scientific/Program progress should be addressed separately in the annual progress report (Type 5); if no T-5 address in final progress report
  – Special progress reporting for Summer Experience program
  – Separate closeout documents are required for each supplement and competitive revision
Electronic Submission of SNAPs
(Streamlined Noncompeting Award Process)

• **E-SNAPs required beginning with SNAP progress reports due on/after August 1, 2010**
  - E-SNAPs do not require stipulation of IRB/IACUC dates (but current approvals are still required)
  - Due 45 days before cycle date instead of 60 days

• **E-SNAP Training Class dates at:**
  [http://www.ospa.umn.edu/GrantGov/Schedules%20and%20Calendars/trainingschedule.html#labs](http://www.ospa.umn.edu/GrantGov/Schedules%20and%20Calendars/trainingschedule.html#labs)

• Grants not eligible for SNAP are still submitted on paper using 2590’s
  - i.e., Center grants, Coop Agreements, T32s, PO1s, R35’s, and clinical trials (even if R01’s)
Return to a Two-Day “Error Correction Window” for Grant Applications

Effective May 8, 2010, NIH, AHRQ, NIOSH, and FDA will return to a two (2) business day “error correction window” (i.e., the time allowed after the submission deadline for an applicant to address NIH system-identified errors/warnings) for electronic and competing paper-based PHS 398 grant applications.

Required Use of X-Train for Appointment and Termination Notices in January 2011

• xTrain will be required for PHS 2271 Statement of Appointment form and PHS 416-7 Termination Notice for
  – Kirschstein-NRSA undergraduate institutional training grants (T34 – appointment forms only)
  – Kirschstein-NRSA predoctoral and postdoctoral institutional training grants (T32, T35, T90, TL1, and TU2)
  – Kirschstein-NRSA fellowships (F30, F31, F32, and F33 – termination notices only)*
  – National Library of Medicine institutional training grants (T15)
  – Research education awards (R25, R90)
  – Institutional career development awards (K12, KL2, KM1)

Forthcoming: Updated NIH COI Rules Expected Shortly

- NIH received 78 comments last year and have now considered them
- New proposed rule expected anytime (expect 30-60 day final comment period)
- Final Rule expected sometime this year
<table>
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<th>Quarter Ending</th>
<th>Recipient Reporting Compliance rate was 99.7%</th>
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<tr>
<td>9/30/2009</td>
<td>Total of 107 out of 4,502 did not report</td>
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<tr>
<td></td>
<td>No uncorrected significant errors and 100% accuracy rate</td>
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<tr>
<td></td>
<td>Responded to six data calls from OMB and Recovery Board</td>
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<tr>
<td></td>
<td>2,491.51 jobs</td>
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<tr>
<td>12/31/2009</td>
<td>Total of 14 out of 4,535 did not report</td>
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<td></td>
<td>Only 2 two-time (non-consecutive) non reporters</td>
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<td>Significant error and 99.9% accuracy rate</td>
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<tr>
<td></td>
<td>Responded to nine data calls from OMB and Recovery Board</td>
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<tr>
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<td>2,913.87 jobs</td>
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<td>3/31/2010</td>
<td>Total of 25 out of 4,626 did not report</td>
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<td>Only 2 two-time (non-consecutive) non reporters</td>
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<td></td>
<td>Responded to nine data calls from OMB and Recovery Board</td>
</tr>
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<td>3,628.93 jobs</td>
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</table>

Responded to six data calls from OMB and Recovery Board
2,491.51 jobs
Updated ARRA Reporting Instructions, dated May 4, 2010

• Changes include:
  – **Award Number Data Field** to emphasize the importance of this entry as it is used by FederalReporting.gov to forward the report to the appropriate agency;
  – **Final Report Data Field** to incorporate supplemental guidance issued by OMB regarding when a report should be flagged as “Final” (see OMB Memorandum M-10-14 dated 03/22/10);
  – **Award Date Data Field** to clearly articulate that this is the field used by NSF to determine when the initial quarterly submission is due;
  – **Quarterly Activities/Project Description** to clarify the instructions for recipients; and
  – **Number of Jobs Created/Retained Data Field** for consistency with guidance issued by OMB (see OMB Memorandum M-10-08, dated 12/18/09).
Postdoctoral Research Fellows Mentoring Plan Reminder/Update

• Each proposal that contains postdoctoral researchers must include, as a supplementary document, a description of the mentoring activities that will be provided for such individuals. The mentoring plan must not exceed one page.

• This one-page limitation also is applied to proposals with subawards, and, separately submitted collaborative proposals.

• Effective April 24, proposals that do not have the requisite plan will not be able to be submitted by the institution.
Forthcoming: Final Report for Public on NSF Grants

- Prepared and submitted via Research.gov
  - PIs will be required to prepare a summary – developed specifically for the public – on the nature and outcomes of the award.
- Implemented via revisions to the NSF Agency Specific Requirements to the standard Grant Conditions
- Effective January 4, 2010, new awards and funding increments to existing awards incorporate the new requirement.
- New capability in Research.gov anticipated implementation date: July 23, 2010
Forthcoming: NSF Revised Cost Sharing Policy
Forthcoming: Data Management Plans

- NSF is planning a change in the implementation of its existing data sharing policy
  - Existing policy requires awardees to share their data within a reasonable length of time, so long as the cost is modest.
  - This is the first step in what will be a more comprehensive approach to data.
  - The changes are designed to address trends and needs in the modern era of data-driven science.
  - NSF wants to avoid a one size fits all approach to the issue of data sharing.
Data Management Plans (Cont’d)

- NSF will require all proposals include a data management plan in the form of a supplemental document (maximum of 2-pages)
  - This supplement should describe how the proposal will conform to NSF policy on the dissemination and sharing of research results.
  - A valid Data Management Plan may include only the statement that no detailed plan is needed, as long as the statement is accompanied by a clear justification.
  - The Data Management Plan will be reviewed as an integral part of the proposal, coming under Intellectual Merit or Broader Impacts or both, as appropriate for the scientific community of relevance.
  - NSF plans to use an automated approach in FastLane to check compliance.
Forthcoming: Research Performance Progress Report (RPPR)

- Uniform format for reporting performance on Federally-funded research projects
  - Format was developed as the “Research alternative” to the Performance Progress Report (PPR)
  - This proposed policy addresses interim progress reports only
  - The Subcommittee will consider a format for final reports once the progress report format has been issued
RPPR Components

- Cover Page Data Elements
- Mandatory Category
  - Accomplishments: What was done? What was learned?
- Optional Categories
  - Products: What has the project produced?
  - Participants & Other Collaborating Organizations: Who has been involved?
  - Impact: What is the impact of the project? How has it contributed?
  - Changes/Problems
  - Special Reporting Requirements
  - Budgetary Information
  - Appendix 1: Demographic Information for Significant Contributors
RPPR Status

• The RPPR Policy Letter signed by OMB/OSTP on April 21, 2010

• Each agency is required to post an implementation plan within nine months after issuance of the OMB/OSTP Policy Letter.
  – The plan must address whether the agency plans to use the paper or electronic format and must have an anticipated implementation date.
  – Prior to this date, agencies must submit revisions to their currently approved progress reporting format for clearance by OMB.

• NSF will use Research.gov to provide a new online service for preparing and submitting this report.

• NSF will initially partner with NASA to deliver RPPR to the research community.
ARRA-Related Update

- DOE to impose its own reporting requirements (in addition to ARRA reporting)
- Monthly/quarterly jobs and progress reporting possible
  - Will vary by program/award
  - Will include cost-shared as well as DOE-funded work
  - Reports are expected to include the number of hours worked (guidance doesn’t seem to take into consideration the University effort-reporting system).
  - Reports may require inclusion of one-time, quarterly or monthly ‘impact metrics’ (as defined by issuing program office).
  - ‘Milestone baseline & progress reporting’ will also be required for 34 select Recovery Act projects.