Late Proposal Remedy Plan

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Significant Increase in Late Proposals

• 30% growth in the number of late proposals in the past year (overall volume of proposals increased 6%)

<table>
<thead>
<tr>
<th>Year</th>
<th>2012</th>
<th>2013</th>
<th>2014</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>884</td>
<td>866</td>
<td>1127</td>
</tr>
<tr>
<td>Change</td>
<td>-2%</td>
<td></td>
<td>+30%</td>
</tr>
</tbody>
</table>

• Significant growth in the percentage of late proposals

<table>
<thead>
<tr>
<th>Year</th>
<th>2012</th>
<th>2013</th>
<th>2014</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>16.76%</td>
<td>16.46%</td>
<td>20.19%</td>
</tr>
</tbody>
</table>
The Landscape has Changed since 2006

• Grants.Gov no longer a novel system

• SPA must now use 75 different electronic agency proposal submission systems (20 more than just two years ago)

• Additional regulatory requirements (e.g., FCOI, subawards, data management plans) have increased the number of items to review

• Federal regulations require institutional proposal review to “ensure adherence to all regulatory and agency requirements”

• NSF has added extensive electronic controls that prevent SPA from submitting and removed a 5 day post-deadline SPA certification window
Grants.Gov

Agency
Server

Wanna Minnesota Proposal?

Yes!

Got it!

Agency Tracking #

Validated!!

I got It!

Failed!

Received, Errors or Warnings

(NIH) Assigned To Review Group

Retrieved By Agency

SEND
# Deadlines at other institutions

<table>
<thead>
<tr>
<th>Institution</th>
<th>Grants.gov</th>
<th>All others</th>
</tr>
</thead>
<tbody>
<tr>
<td>Univ. of Wisc.- Madison</td>
<td>varies</td>
<td>varies</td>
</tr>
<tr>
<td>Univ. of Maryland</td>
<td>6 days</td>
<td>1-6 days</td>
</tr>
<tr>
<td>Michigan State University</td>
<td>10 days notice; 6 days budget &amp; proposal; 3 days final proposal</td>
<td>2 days</td>
</tr>
<tr>
<td>Indiana University</td>
<td>5 days</td>
<td>5 days</td>
</tr>
<tr>
<td>Rutgers University</td>
<td>5 days</td>
<td>5 days</td>
</tr>
<tr>
<td>University of Iowa</td>
<td>5 days</td>
<td>5 days</td>
</tr>
<tr>
<td>University of IL - Urbana</td>
<td>5 days</td>
<td>3 days</td>
</tr>
<tr>
<td>Northwestern University</td>
<td>5 days admin ( 2 day final)</td>
<td>2 days</td>
</tr>
<tr>
<td>University of Michigan</td>
<td>4 days</td>
<td>4 days</td>
</tr>
<tr>
<td>University of Nebraska</td>
<td>4 days</td>
<td>4 days</td>
</tr>
<tr>
<td>Ohio State University</td>
<td>2 days</td>
<td>2 days</td>
</tr>
</tbody>
</table>
Proposed Change

1. Change SPA Proposal Deadline to 3 working days for **ALL** proposals (Due at 9 am.)
   - Proposals that must be submitted by Friday at 5 pm would be due to SPA by Wednesday at 9 am

2. Recommend 5 days in advance for:
   - ALL RFP (contract) proposals
   - Complex proposals (e.g., complex RFA, more than 2 subawards or special IP requirements, etc.)
   - Grants.Gov proposals
   - Proposals from new or less experienced Pis

NOTE: Duluth and Morris set their own deadlines if using their offices

Please comment!
Proposed Change (continued)

3. Broaden and improve notification process
   – Eliminate “Kevin late proposals emails”
   
   – Replace with notification emails sent monthly (pre-award contact gets all emails too)
     - 1\textsuperscript{st} late proposal – Reminder to PI of SPA deadline
     - 2\textsuperscript{nd} late – Reminder to PI, copying dept head/chair
     - 3\textsuperscript{rd} late – Request to dept head/chair for help to address issue, copying PI and Research Associate Dean
     - 4\textsuperscript{th} late – Request for corrective action plan from the PI to the Research Associate Dean, dept head/chair, AVP-RA

   – Late proposal data available monthly to Research Associate Deans and/or their delegate(s)

   – notifications start over at the beginning of each fiscal year
What’s Included .. or Not

INCLUDES

– New, renewal, continuation, supplement proposals

DOES NOT INCLUDE

– “Target” proposals (unless PRF shows due date in fewer than 3 days)
– Proposals submitted for PIs who are given auto-extensions or flexible deadlines because they sit on a study section (same as above)
– Proposal/Award concurrents (should be very rare)
– Other transactions (revised budgets, prior approval requests)
– Industry-funded agreement PRFs (contract in process)
Anticipated Implementation

• Obtain input and refine as needed (Sept 2015)

• Communicate planned change (October 2015)

• Implement new deadline policy (January 2016)