RPPR*
A new acronym and process that will help you, really!

*RPPR = Research Performance Progress Report
You may have already heard of this new acronym

RPPR = Research Performance Progress Report

RPPR resulted from an initiative of the Research Business Models (RBM) Subcommittee of the Committee on Science (CoS), a committee of the National Science and Technology Council (NSTC).
A little bit of background...

• Created to give greater consistency in the administration of Federal research awards.

• Will give consistency to Federal agencies so awards are managed in a similar fashion.

• Will be used by Federal agencies that support research and research-related activities for submission of interim progress reports.

• Intended to replace other interim performance reporting formats currently in use by agencies.
Agency roll out plans

• Each Agency will implement their own roll-out plan.

RPPR across the agencies

• Each category in the RPPR is a separate reporting component.
• Agencies will direct recipients to report on the one mandatory component ("Accomplishments"), and may also direct them to report on optional components, as appropriate.
• Agency customization is possible with RPPR with Office of Management and Budget pre-approval.
• Agencies have the option of using OMB approved formats, (i.e. Performance Progress Reports) if it is better suited to agency requirements.
Let’s focus on one agency: NIH

- October 2012: NIH provided all institutions with the option to use the era Commons RPPR module when submitting a progress report for SNAP and Individual Fellowship awards.
- February, 2013: NIH required the use of RPPR for awards with start dates on or after July 1, 2013 (i.e., due dates on or after May 15, 2013, for SNAP awards and May 1, 2013, for Fellowships).
NIH RPPR TIMELINE

- RPPR Pilot Opens to 7 CWG Institutions
- All FDP institutions given early access to RPPR module
- All NIH grantees given access to RPPR module
  - Use of RPPR is OPTIONAL
  - Progress Report Additional Materials (PRAM) functionality available
- NIH mandates use of RPPR for all SNAP and F awards

- APRIL 2012
- JUNE 2012
- AUGUST 2012
- OCTOBER 2012
- JANUARY 2013
- MARCH 2013

RPPR for non SNAP awards TBD
NIH Activity Codes from the NIH webpage 10-17-2012, more may have added, check in eRA Commons if your mechanism is not shown

<table>
<thead>
<tr>
<th>Type of SNAP</th>
<th>Activity Codes</th>
</tr>
</thead>
<tbody>
<tr>
<td>R01-like SNAPs</td>
<td>D71, DP1, DP5, G08, G11, G13, P40, R00, R01, R03, R18, R21, R33, R34, R36, R37, R56, RC1, RC2, RL1, S10, S21, S22, SC1, SC2, SC3, UB1, UC2, UH1, UH2, UH3, UP5, P01, P20, P30, P40, P41, P50, PL1, R24, S11, U01, U10, U19, U24, U34, U54</td>
</tr>
<tr>
<td>Individual K SNAPs</td>
<td>K01, K02, K05, K06, K07, K08, K18, K22, K23, K24, K25, K26, K99, KL1</td>
</tr>
<tr>
<td>Education SNAPs</td>
<td>D43, K30, R13, R25, RL5, T14, T36, U13, U2R</td>
</tr>
<tr>
<td>Fellowships</td>
<td>F05, F30, F31, F32, F33, F34, F37</td>
</tr>
<tr>
<td>SBIR/STTR SNAPs</td>
<td>R41, R42, R43, R44, U43, U44</td>
</tr>
</tbody>
</table>
## 7 Types of RPPR: Examples of Differences

<table>
<thead>
<tr>
<th>Type</th>
<th>Examples</th>
</tr>
</thead>
</table>
| **R01-like**    | • Standard RPG  
                  • Basis for development of other types     |
| **Individual CDA** | • Mentor report  
                          • RCR reporting                             |
| **Training**    | • Trainee Diversity Report  
                  • RCR reporting  
                  • Tables 12A/B & program statistics, if applicable |
| **Fellowship**  | • Sponsor comments  
                  • RCR reporting                              |
| **Education** (e.g., R13, R15, T36)** | • No reporting on technology or invention products, resource sharing, impact on infrastructure, tech transfer |
| **SBIR/STTR**   | • Report on technology transfer, product development status, and commercialization plan |
| **Complex**     | • Parent reporting similar to R01-like  
                  • Project/core(s) reporting on accomplishments, technologies, products, resource sharing, publications, hESCs, human subjects |
RPPR is similar to eSNAP

• No detailed budget
• Users will still use MyNCBI to load their publications
• Wherever possible, information is prefilled from the eRA Commons
• NIH compliance and policy questions like human subjects education, inclusion enrollment report and human embryonic stem cell information will still be required

But there will be differences...

There are separate screens for each reporting component*:

• Cover page
• Accomplishments
• Products
• Participants
• Impact
• Changes
• Special (NIH) Reporting Req
• Budget for non-SNAP awards

Just a few points

• Users will answer questions by using a check box, entering text, uploading a PDF or selecting “Nothing to Report”
• Notice of Award link is embedded in the interface
• Streamlined reporting of ClinicalTrials.gov information
### A. Cover Page

**Grant Information**

- **Grant Number:** SR01D-E001001001003
- **Project Title:** PainPathways

**A.2 Program Director/Principal Investigator (PD/PI) Information**

- **Name:** SUE, SUE A
- **Email:** ePAtext@minn.gov
- **Phone:** (555) 655-2550

**A.3 Administrative Official Information**

- **Name:** VALERIE, VALERIE
- **Email:** ePAtext@minn.gov
- **Phone:** (555) 655-2550

**Grant Information**

- **Organization Name:** SCIENCE UNIVERSITY
- **Address:** SCIENCE UNIVERSITY

**Recipient Organization Information**

- **DIINES:** 0000000000
- **FIR:** 1000000000

**Project/Grant Period**

- **Start Date:** 02/01/2014
- **End Date:** 03/31/2014

**Reporting Period**

- **Start Date:** 04/01/2014
- **End Date:** 03/31/2013

**Requested Budget Period**

- **Start Date:** 04/01/2014
- **End Date:** 03/31/2013
B. Accomplishments

Includes these sections

- Major Goals of the project
- Have the major goals changed since initial concept?
- What was accomplished under these goals?
- Is it there a revision or supplement? Follow up questions if yes
- Space to upload accomplishments and professional development opportunities
- Opportunity for training and professional development
- Have results been disseminated to community?
- Plans for next reporting period
Let’s take a closer look at a section of a category

B. Accomplishments

B.1 What are the major goals of the project?

List the major goals of the project as stated in the approved application or as approved by the agency. If the application lists milestones/target dates for important activities or phases of the project, identify these dates and show actual completion dates or the percentage of completion.

Generally, the goals will not change from one reporting period to the next. However, if the awarding agency approved changes to the goals during the reporting period, list the revised goals and objectives. Also explain any significant changes in approach or methods from the agency approved application or plan.

*Goals* are equivalent to *specific aims.* Significant changes in objectives and scope require prior approval of the agency (e.g., NIH Grants Policy Statement, 8.1.2).

List the major goals below (NIH recommended length is up to 1 page. Limit is 8000 characters or approximately 3 pages).

Total remaining allowed limit is 8000 characters.

B.1a Have the major goals changed since the initial competing award or previous report?  ○ Yes  ○ No

B.2 What was accomplished under these goals?
New Information for RPPR?

Crosswalk between eSNAP and R01-like RPPR

<table>
<thead>
<tr>
<th>eSNAP</th>
<th>RPPR</th>
</tr>
</thead>
<tbody>
<tr>
<td>Manage eSNAP/RPPR</td>
<td></td>
</tr>
<tr>
<td>• Grant #, Institution, PD/PI Name, Project Title, Due Date, Current Reviewer, Status</td>
<td>Same</td>
</tr>
<tr>
<td>• Status of Completion</td>
<td>Not Available</td>
</tr>
<tr>
<td>• Buttons such as View eSNAP Report, Validate, View Routing History, Route</td>
<td>Basically the same</td>
</tr>
<tr>
<td>Upload Science</td>
<td></td>
</tr>
<tr>
<td>• Progress Report File</td>
<td></td>
</tr>
<tr>
<td>o A. Specific Aims</td>
<td>Accomplishments (B.1) (B.1.a)</td>
</tr>
<tr>
<td>o B. Studies and Results (including supplements)</td>
<td>Accomplishments (B.2) (B.3 for supplements)</td>
</tr>
<tr>
<td>o C. Significance</td>
<td>Accomplishments (B.2) (B.3, for supplements)</td>
</tr>
<tr>
<td>o D. Plans</td>
<td>Accomplishments (B.6)</td>
</tr>
</tbody>
</table>

No, not in most cases as you can see in this crosswalk table
C. Products

Includes these sections

- Publications
- Associated websites/URLs
- Technologies or techniques that resulted from the research
- Inventions, patents, applications and/or licenses
- Other products and resource sharing
Let’s Focus in on the Publications Section of the Product Category which uses MyNCBI

| Note column that covers compliance with NIH Public Access Policy |
|---|---|

<p>| | |</p>
<table>
<thead>
<tr>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>C. Products</strong></td>
<td></td>
</tr>
</tbody>
</table>

To see all of your publications from MyNCBI md to associate those with this RPPR, the answer to the Products question should be “Yes”.
MyNCBI, your tool with RPPR

• A step by step guide created at the University of Minnesota is available at the SPA webpage: http://www.ospa.umn.edu/documents/DetailedMyNCBIProcess4-4-13.pdf

• MyNCBI presentation you can watch at your desk: https://umconnect.umn.edu/p91776271/

• Library staff are available to help staff and faculty with MyNCBI questions. If you have a question, would like to schedule MyNCBI training or would like assistance with an issue contact them at public-access@umn.edu.
What if I have non-compliant publications?

• Some non-compliant can be managed by the use of NIH’s *Progress Report Additional Materials (PRAM)* form.

• The Progress Report Additional Materials (PRAM) The PRAM feature allows the grantee to enter, review, and submit information in response to a specific NIH request for additional information or materials, following the submission of an RPPR.

D. Participants

Includes these sections

• Who has worked on this project?
• Personnel updates
• Changes in other support
• New Other Significant Contributors
• Multi-PI Leadership Plan
Key to note, use whole numbers for person months in the Participant section

<table>
<thead>
<tr>
<th>Edit Business</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>• Organization Information</td>
<td>Cover Page (A.1 – A.4)</td>
</tr>
<tr>
<td>• Project/Performance Sites</td>
<td>Special Reporting Requirements (G.8)</td>
</tr>
<tr>
<td>• All Personnel</td>
<td>Participants (D.1) (only whole numbers for person months)</td>
</tr>
<tr>
<td>• Research Subjects</td>
<td></td>
</tr>
<tr>
<td>o Human Subjects Y/N?</td>
<td>Special Reporting Requirements (G.4)</td>
</tr>
<tr>
<td>o Animals Y/N?</td>
<td>Special Reporting Requirements (G.7)</td>
</tr>
<tr>
<td>o Changes in involvement of human subjects?</td>
<td>Changes (F.3.a)</td>
</tr>
<tr>
<td>o Changes in involvement of animal subjects?</td>
<td>Changes (F.3.b)</td>
</tr>
<tr>
<td>o Human subject education requirement for new senior/key personnel involved in human subject research</td>
<td>Special Reporting Requirements (G.5)</td>
</tr>
<tr>
<td>• SNAP &amp; Other Progress Report Questions &amp; Checklist</td>
<td></td>
</tr>
<tr>
<td>o Has there been a change in the other support of senior/key personnel since the last reporting period?</td>
<td>Participants (D.2.c) Changes in Other Support (Note that changes from previous year now must be included in the OS file as no separate text box provided)</td>
</tr>
<tr>
<td>o Will there be, in the next budget period, a significant change in the level of effort for the PD/PI(s) or other senior/key personnel designated on the Notice of Award from what was approved for this project?</td>
<td>Participants (D.2.a) Will there be, in the next budget period, either (1) a reduction of 25% or more in the level of effort from what was approved by the agency for the PD/PI(s) or other senior/key personnel designated in the Notice of Award, or (2) a reduction in the level of effort below the minimum amount of effort required by the Notice of Award?</td>
</tr>
</tbody>
</table>

Taken from the eSNAP and RPPR Crosswalk table
E. Impact

- What is the impact on physical, institutional, or information resources that form infrastructure?

- What dollar amount of the award’s budget is being spent in foreign countries?
F. Changes

Includes these sections

- Anticipated challenges or delays and actions or plans to solve them
- Significant changes to human subjects, vertebrate animals, biohazards, and/or select agents
G. Special Reporting Requirements

Includes these sections

- Special Notice of Award Terms and Funding Opportunity
- Human Subjects (Does project include, inclusion enrollment data)
- Clinical Trial
- Human Subjects Education Requirement
- Human Embryonic Stem Cells (hESCs)
- Vertebrate Animals
- Project/Performance Sites
- Foreign Components
- Estimated Unobligated Balance
- Program Income
- F&A Costs
H. Budget

- Will follow the SF424R&R model when applicable
The system prompts follow the SF 424 R&R format.
The look of the finished RPPR is much like a SF 424 R&R in the Commons.
Summary of New Information Required by NIH

• Competitive revisions/administrative supplements
  • Specific location to report aims & accomplishments (Cover page A.3)

• Foreign Collaborations:
  • Individual affiliation with foreign organization (Participant section D.1)
  • Dollar amount of award spent in foreign country (Impact E.4)
  • Information about foreign components (Special Reporting Requirements section G.9)

• Participants – role on project
  • New role-High School Student; slight rewording of other roles (Participant section D.1)

• Level of Effort
  • Prior approval request for reduction of >25% for PD/PI or other key personnel designated on NoA (Participant section D.2.a)

• Estimated unobligated balance
  • Provide estimated amount and description of how funds will be spent (Special Reporting Requirements section G.10)
Points to Remember

• System checks for errors prior to submission; user cannot submit with errors
• Only warning: publications non-compliant with Public Access Policy
  • system will generate eNotification
• Special characters not permitted in text boxes
  • will not display properly in final PDF
More Points to Remember

• Ignore items marked “Not Applicable”
• Round person months to nearest whole number
• Follow instructions, e.g., do not report publications under B.2. Accomplishments
NIH RPPR resources

- RPPR Homepage: http://grants.nih.gov/grants/rppr/
- NIH provided RPPR Training: http://grants.nih.gov/grants/webinar_docs/webinar_20121017.mp4
Other NIH RPPR resources

- Check the SPA webpage for eSNAP/RPPR crosswalk table:

- How does RPPR differ from SNAP:
  http://grants.nih.gov/grants/rprr/rprr_vs_esnap.htm

- NIH RPPR screen shots: