



Notice of Grant or Contract Award

Sponsored Projects Administration

Print Date: 4/22/2008

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Award: CON000000013794

PRF #:

(Online Page Navigation: Main Menu > Grants > Awards > UM\_NOGA Report)

AWARD INFORMATION (Online Page Navigation: Main Menu > Grants > Awards > Award Profile)

Proposal Type: NEW

Award Type: Grant

Purpose: Research

Principal Investigator: Kevelin,Michelle

Academic Unit: External Sales Office

Sponsor: National Institute of Health

Sponsor Award #: R01-AT036982

Award Title #: Freezing Tree Frogs

AWARD HISTORY (Online Page Navigation: Main Menu > Grants > Awards > Review Award Modifications)

<b>Reference Award#:</b> 1R01-AT036982-01	<b>Amount:</b> \$158,852.00
<b>Period:</b> 1	<b>Issue Date:</b> 02/01/2006
<b>Modification Seq:</b> 1	<b>Modification Type:</b> Original
	<b>Begin Date:</b> 02/01/2006
	<b>End Date:</b> 01/31/2007
	<b>Mod Issue Date:</b>

Comments:

Awarded under the terms and conditions of the Federal Demonstration Partnership Phase IV.

An unobligated balance may be carried over into the next budget period without Grants Management Officer prior approval.

This grant is subject to Streamlined Noncompeting Award Procedures (SNAP).

In accordance with P.L 110-161 compliance with the NIH Public Access Policy is now mandatory. See NOT-OD-08-033 and the Public Access website <http://publicaccess.nih.gov> for additional information.

<b>Reference Award#:</b> 5R01-AT036982-02	<b>Amount:</b> \$163,618.00
<b>Period:</b> 2	<b>Issue Date:</b> 01/30/2007
<b>Modification Seq:</b> 2	<b>Modification Type:</b> Continuation
	<b>Begin Date:</b> 02/01/2007
	<b>End Date:</b> 01/31/2008
	<b>Mod Issue Date:</b>

Comments:

Year 2 funding

<b>Reference Award#:</b> 5R01-AT036982-03	<b>Amount:</b> \$168,527.00
<b>Period:</b> 3	<b>Issue Date:</b> 01/28/2008
<b>Modification Seq:</b> 3	<b>Modification Type:</b> Continuation
	<b>Begin Date:</b> 02/01/2008
	<b>End Date:</b> 01/31/2009
	<b>Mod Issue Date:</b>

Comments:

Year 3 funding

<b>Reference Award#:</b> 5R01-AT036982-03 Revised	<b>Amount:</b> \$0.00
<b>Period:</b> 3	<b>Issue Date:</b> 01/28/2008
<b>Modification Seq:</b> 4	<b>Modification Type:</b> PI Change
	<b>Begin Date:</b> 02/01/2008
	<b>End Date:</b> 01/31/2009
	<b>Mod Issue Date:</b> 04/03/2008

Comments:

Agency approval to change PI from Mary Gorder to Michelle Kevelin as requested in PI's letter dated 2/4/08.

ALL OTHER PREVIOUS TERMS AND CONDITIONS REMAIN IN EFFECT.

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Reference Award#: R01-AT036982

Amount: \$173,582.00

Period: 4

Issue Date:

Begin Date: 02/01/2009 End Date: 01/31/2010

Modification Seq: 4

Modification Type: Original

Mod Issue Date:

Comments:

Estimated future year funding

ASSOCIATED PROJECTS (Online Page Navigation: Main Menu > Grants > Awards > Award Profile – Funding Tab)

Project #: 00003942

Dept ID: 11651

Project Title: Freezing Tree Frogs

Project PI: Kevelin,Michelle

F&A Rate: 51.00

F&A Base: MTDC

COMMENTS

Description:

Entered by: UMGPC06

Date & Time: 4/22/2008 3:27 PM

Date and Time Stamp indicates Proposal-to-Award Process

SPECIAL REVIEWS (Online Page Navigation: Main Menu > Grants > Awards > Award Profile – Certifications Tab)

Project #: 00003942

Description: Vertebrate Animals

Approval Date: 01/13/2008

Expiration Date: 01/12/2009

AssuranceNumber: 0601A3372

Comments: No activities involving animals may occur during any period where there is a lapse in IACUC approval.

BUDGET INFORMATION (Online Page Navigation: Main Menu > Grants > Awards > Project Budget)

Please review online budget for valid account string combinations (ex. PCBU, Activity, Fund, Dept\_Id, Project, Account)

Project #: 00003942

Cost Share Budget Total: \$0.00

Project Start Date: 02/01/2006

Project End Date: 01/31/2010

ICR Sharing Department: Veterinary Biomedical Science

Percentage: 100.00

Period	Start Date	End Date	Direct Cost (Approved)	F & A Cost (Approved)	Direct Cost (Pending)	F & A Cost (Pending)	Total Period Cost
1	02/01/2006	01/31/2007	\$105,200.00	\$53,652.00	\$0.00	\$0.00	\$158,852.00
2	02/01/2007	01/31/2008	\$108,356.00	\$55,262.00	\$0.00	\$0.00	\$163,618.00
3	02/01/2008	01/31/2009	\$111,607.00	\$56,920.00	\$0.00	\$0.00	\$168,527.00
4	02/01/2009	01/31/2010	\$0.00	\$0.00	\$114,955.00	\$58,627.00	\$173,582.00
<b>TOTAL</b>			\$325,163.00	\$165,834.00	\$114,955.00	\$58,627.00	\$664,579.00

COST SHARE/MATCH/IN-KIND

CSM - Cost Share, Matching and InKind

CSM05 - Departments must document all cost share that is shown in the proposal.

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## PROGRAM INCOME INFORMATION

### PIN - Program Income

PIN04 - Additional - Program Income can be used in addition to sponsor funds to cover project costs that will further the objectives of the legislation under which the grant was made.

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## TERMS & CONDITIONS (Online Page Navigation: Main Menu > Grants > Awards > Award Profile – Terms Tab)

### APV - Additional Approval

APV01 - Prior sponsor approval or notification required for reduction of PI's effort by 25% or more.

APV04 - Prior sponsor approval required to replace PI.

APV07 - Prior sponsor approval required for changes to key personnel that would impact the scope of work.

APV10 - Prior sponsor approval required for changes to work scope.

APV14 - Prior sponsor approval required for the absence of the PI for 3 months or more.

APV20 - Prior sponsor approval required for the addition of foreign sites or foreign subcontracts.

APV23 - Prior sponsor approval required for a second no cost extension.

### FIS - Fiscal

FIS02 - Reversion of funds at end of project period

FIS10 - Grant/contract funds may not be used to pay the salary of an individual at a rate in excess of Executive Level I of the Federal Executive Pay Scale

### IPR - IP Rights

IPR04 - Title to U of M; non-exclusive, non-transferable, irrevocable, paid-up license to Federal Government.

### PRP - Property

PRP03 - Sponsor may require transfer of equipment and its title upon award completion for those items costing in excess of \$5000.

### PUB - Publications

PUB02 - U of M will place an acknowledgement of sponsor support and a disclaimer, as appropriate, on any publication generated by this award or reporting on the award results and/or activity.

### RBD - Rebudgeting

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RBD10 - Rebudgeting is allowed as long as there is no change in work scope

**REG - Regulatory**

REG01 - 45 CFR Part 74 - Code of Federal Regulations as it pertains to institutions of higher education.

REG03 - OMB Circular A-21 ([www.whitehouse.gov/omb/circulars/a021/a021.html](http://www.whitehouse.gov/omb/circulars/a021/a021.html))

REG04 - OMB Circular A-110 ([www.whitehouse.gov/omb/circulars/a110/a110.html](http://www.whitehouse.gov/omb/circulars/a110/a110.html))

REG05 - OMB Circular A-133 ([www.whitehouse.gov/omb/circulars/a133/a133.html](http://www.whitehouse.gov/omb/circulars/a133/a133.html))

REG19 - NIH Grants Policy Statement ([grants.nih.gov/grants/policy/nihgps\\_2003](http://grants.nih.gov/grants/policy/nihgps_2003))

**UMN - U of M Requirements**

UMN01 - The U of M Effort Certification system must be used to reflect all U of M staff committed effort on this award, whether or not any salary is charged to the award.

UMN02 - Salary cost transfers cannot be made after effort is submitted.

UMN03 - All U of M Policy and Guidelines relating to research must be followed and can be read in detail on the web at <http://www.ospa.umn.edu/policiesmanuals&education/policies/respolcy.htm>

UMN04 - U of M Cost Transfer guidelines state transfers of non-salary expenses on accounts be clearly documented & made within 30 days after the end of the accounting period the charge was processed.

**REPORTS**

<b>MILESTONE</b>	<b>TYPE</b>	<b>DESCR</b>	Report
	<b>Milestone Code</b>		Progress Report

Descr:

**Due Date:**

**Comments:** A SNAP continuation proposal is due at NIH by December 1st of each year.

<b>MILESTONE</b>	<b>TYPE</b>	<b>DESCR</b>	Final Report
	<b>Milestone Code</b>		Final Invention Statement

Descr:

**Due Date:**

**Comments:** A final Invention Statement must be submitted within 90 days after project termination.

<b>MILESTONE</b>	<b>TYPE</b>	<b>DESCR</b>	Final Report
	<b>Milestone Code</b>		Final Technical Report

Descr:

**Due Date:**

**Comments:** A final Progress Report must be submitted within 90 days after project termination.

**INVOICE/BILLING INFORMATION**

**Basis of Payment:** COST\_REIMBURSE

**Method of Payment:** Electronic Fund Transfer

**Internal SPA Use:**

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Invoice Form: GPC - GM\_LOC

Interim Invoice Deadline: 90

Final Invoice Deadline: 90

Contact Name: Test Contact

Contact Phone: 555-5555

Contact Fax:

Contact Email: gates001@msn.com

Contact Address: 4000 Grant DHHD Ave

address3

Arlington VA 22230

FINANCIAL REPORTING

Report Form ID: R\_FFR

Interim Reporting Deadline: 90

Reporting Frequency: Termination/Final

Final Reporting Deadline: 90

GENERAL PROVISIONS

This document is intended to provide guidance in managing this award, to the Principal Investigator, Academic Unit, and to those who are noted as receiving this document. Its intent is to provide general information regarding University and sponsor policies when given the award. In addition, it is intended to reference specific conditions related to this award. Specific questions should be addressed to the grant administrator from SPA noted below. By accepting this award, the Principal Investigator acknowledges and agrees to abide by all provisions of the sponsoring agency and the University of Minnesota policies related to sponsored projects management, including the Code of Conduct.

AGENCY CONTACTS

Contact Name: NIH contact

Contact Phone: 877/877-8888

Contact Email:

Contact Address: Ship to

Contact Type:

Washingto DC 10000

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U OF M CONTACTS

Administrative questions to SPA staff member: Karen Sachi

612/624-0895 sachi003@umn.edu

Billing and financial questions to SFR: Staci Gallahue

612/624-6829 hans0408@umn.edu