AWARD INFORMATION

**Proposal Type:** NEW  
**Award Type:** Grant  
**Purpose:** Research  
**Principal Investigator:** Kevelin, Michelle  
**Academic Unit:** External Sales Office  
**Sponsor:** National Institute of Health  
**Sponsor Award #:** R01-AT036982  
**Award Title #:** Freezing Tree Frogs

AWARD HISTORY

<table>
<thead>
<tr>
<th>Reference Award#</th>
<th>Period</th>
<th>Issue Date</th>
<th>Begin Date</th>
<th>End Date</th>
<th>Amount</th>
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**Comments:**

- Awarded under the terms and conditions of the Federal Demonstration Partnership Phase IV.
- An unobligated balance may be carried over into the next budget period without Grants Management Officer prior approval.
- This grant is subject to Streamlined Noncompeting Award Procedures (SNAP).
- In accordance with P.L 110-161 compliance with the NIH Public Access Policy is now mandatory. See NOT-OD-08-033 and the Public Access website http://publicaccess.nih.gov for additional information.
- Agency approval to change PI from Mary Gorder to Michelle Kevelin as requested in PI's letter dated 2/4/08.

**ALL OTHER PREVIOUS TERMS AND CONDITIONS REMAIN IN EFFECT.**
Notice of Grant or Contract Award
Sponsored Projects Administration

(Online Page Navigation: Main Menu > Grants > Awards > UM_NOGA Report)

Reference Award#: R01-AT036982  Amount: $173,582.00
Period: 4  Issue Date: Original
Modification Seq: 4  Modification Type: Original

Comments:
Estimated future year funding

ASSOCIATED PROJECTS (Online Page Navigation: Main Menu > Grants > Awards > Award Profile – Funding Tab)

Project #: 00003942  Dept ID: 11651  Project Title: Freezing Tree Frogs
Project PI: Kevelin, Michelle  F&A Rate: 51.00  F&A Base: MTDC

COMMENTS

Description: Entered by: UMGPC06  Date & Time: 4/22/2008 3:27 PM
Date and Time Stamp indicates Proposal-to-Award Process

SPECIAL REVIEWS (Online Page Navigation: Main Menu > Grants > Awards > Award Profile – Certifications Tab)

Project #: 00003942
Description: Vertebrate Animals
Approval Date: 01/13/2008  Expiration Date: 01/12/2009  AssuranceNumber: 0601A3372
Comments: No activities involving animals may occur during any period where there is a lapse in IACUC approval.

BUDGET INFORMATION (Online Page Navigation: Main Menu > Grants > Awards > Project Budget)
Please review online budget for valid account string combinations (ex. PCBU, Activity, Fund, Dept_Id, Project, Account)

Project #: 00003942  Cost Share Budget Total: $0.00
Project Start Date: 02/01/2006  Project End Date: 01/31/2010
ICR Sharing Department: Veterinary Biomedical Science  Percentage: 100.00

<table>
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<tr>
<th>Period</th>
<th>Start Date</th>
<th>End Date</th>
<th>Direct Cost (Approved)</th>
<th>F &amp; A Cost (Approved)</th>
<th>Direct Cost (Pending)</th>
<th>F &amp; A Cost (Pending)</th>
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COST SHARE/MATCH/IN-KIND

CSM - Cost Share, Matching and InKind

CSM05 - Departments must document all cost share that is shown in the proposal.

Internal SPA Use: Proposal #: CON000000013794
PROGRAM INCOME INFORMATION

PIN - Program Income

PIN04 - Additional Program Income can be used in addition to sponsor funds to cover project costs that will further the objectives of the legislation under which the grant was made.

TERMS & CONDITIONS

APV - Additional Approval

APV01 - Prior sponsor approval or notification required for reduction of PI's effort by 25% or more.

APV04 - Prior sponsor approval required to replace PI.

APV07 - Prior sponsor approval required for changes to key personnel that would impact the scope of work.

APV10 - Prior sponsor approval required for changes to work scope.

APV14 - Prior sponsor approval required for the absence of the PI for 3 months or more.

APV20 - Prior sponsor approval required for the addition of foreign sites or foreign subcontracts.

APV23 - Prior sponsor approval required for a second no cost extension.

FIS - Fiscal

FIS02 - Reversion of funds at end of project period

FIS10 - Grant/contract funds may not be used to pay the salary of an individual at a rate in excess of Executive Level I of the Federal Executive Pay Scale

IPR - IP Rights

IPR04 - Title to U of M; non-exclusive, non-transferable, irrevocable, paid-up license to Federal Government.

PRP - Property

PRP03 - Sponsor may require transfer of equipment and its title upon award completion for those items costing in excess of $5000.

PUB - Publications

PUB02 - U of M will place an acknowledgement of sponsor support and a disclaimer, as appropriate, on any publication generated by this award or reporting on the award results and/or activity.

RBD - Rebudgeting

Internal SPA Use: Proposal #: CON000000013794
RBD10 - Rebudgeting is allowed as long as there is no change in work scope

REG - Regulatory
REG01 - 45 CFR Part 74 - Code of Federal Regulations as it pertains to institutions of higher education.

REG03 - OMB Circular A-21 (www.whitehouse.gov/omb/circulars/a021/a021.html)

REG04 - OMB Circular A-110 (www.whitehouse.gov/omb/circulars/a110/a110.html)

REG05 - OMB Circular A-133 (www.whitehouse.gov/omb/circulars/a133/a133.html)


UMN - U of M Requirements
UMN01 - The U of M Effort Certification system must be used to reflect all U of M staff committed effort on this award, whether or not any salary is charged to the award.

UMN02 - Salary cost transfers cannot be made after effort is submitted.

UMN03 - All U of M Policy and Guidelines relating to research must be followed and can be read in detail on the web at http://www.ospa.umn.edu/policiesmanuals&education/policies/respolicy.htm

UMN04 - U of M Cost Transfer guidelines state transfers of non-salary expenses on accounts be clearly documented & made within 30 days after the end of the accounting period the charge was processed.

REPORTS

MILESTONE TYPE DESCR | Milestone Code | Descr: | Due Date: | Comments:
--- | --- | --- | --- | ---
Progress Report | Report | A SNAP continuation proposal is due at NIH by December 1st of each year.
Final Invention Statement | Final Report | A final Invention Statement must be submitted within 90 days after project termination.
Final Technical Report | Final Report | A final Progress Report must be submitted within 90 days after project termination.

INVOICE/BILLING INFORMATION

Basis of Payment: COST_REIMBURSE
Method of Payment: Electronic Fund Transfer
Proposal #: CON000000013794
Notice of Grant or Contract Award
Sponsored Projects Administration

Prin Date: 4/22/2008
Page: 5 of 5
Award: CON000000013794
PRF #: (Online Page Navigation: Main Menu > Grants > Awards > UM_NOGA Report)

Invoice Form: GPC - GM_LOC
Interim Invoice Deadline: 90 Final Invoice Deadline: 90

Contact Name: Test Contact
Contact Phone: 555-5555
Contact Fax:
Contact Email: gates001@msn.com
Contact Address: 4000 Grant DHHD Ave

FINANCIAL REPORTING
Report Form ID: R_FFR Reporting Frequency: Termination/Final
Interim Reporting Deadline: 90 Final Reporting Deadline: 90

GENERAL PROVISIONS

This document is intended to provide guidance in managing this award, to the Principal Investigator, Academic Unit, and to those who are noted as receiving this document. Its intent is to provide general information regarding University and sponsor policies when given the award. In addition, it is intended to reference specific conditions related to this award. Specific questions should be addressed to the grant administrator from SPA noted below. By accepting this award, the Principal Investigator acknowledges and agrees to abide by all provisions of the sponsoring agency and the University of Minnesota policies related to sponsored projects management, including the Code of Conduct.

AGENCY CONTACTS
Contact Name: NIH contact Contact Type:
Contact Phone: 877/877-8888
Contact Email:
Contact Address: Ship to
Washington DC 10000

U OF M CONTACTS
Administrative questions to SPA staff member: Karen Sachi 612/624-0895 sachi003@umn.edu
Billing and financial questions to SFR: Staci Gallahue 612/624-6829 hans0408@umn.edu

Internal SPA Use: Proposal #: CON000000013794