Centers for Disease Control Foundation
The Hurt Building, Suite 765
Atlanta, Georgia 30303

DATE: June 17, 2002
FILING REF.: The preceding Agreement was dated January 11, 2001

The rates approved in this agreement are for use on subgrants, subcontracts and other agreements with the University of Minnesota, subject to the conditions in Section II.

Section I: INDIRECT COST RATES

Rate Type: PREDETERMINED *

Effective Period:
FROM 07/01/99 TO 6/30/02
RATE (%)* 10%
LOCATIONS ALL
APPLICABLE TO Research & Oth Spon Projs

Rate Type: PROVISIONAL **

Effective Period:
Periods after 6/30/02
FROM TO RATE (%)* 10%
LOCATIONS ALL
APPLICABLE TO Research & Oth Spon Projs

*APPLICATION
For reimbursements to Centers for Disease Control Foundation (CDCF), this Rate is to be applied to direct costs consisting of salaries and wages, fringe benefits, materials and supplies, services, travel and subgrants and subcontracts up to $25,000 for each subgrant and subcontract. Equipment, capital expenditures, charges for patient care and tuition remission, space rental costs, scholarships and fellowships as well as the portion of each subgrant and subcontract in excess of $25,000 shall be excluded.

** FUTURE RATES
Necessary fiscal year audited financial statements for the 7/1/01 – 6/30/2002 will become available during the latter part of the 2002 calendar year. At that time, CDCF staff will submit an IDC Rate Proposal to substantiate the IDC rate applicable for periods beginning on or after June 30, 2002 and justify negotiation of a predetermined multi-year rate (e.g., 7/1/2002 – 6/30/2005).

SECTION II: SPECIAL REMARKS

TREATMENT OF FRINGE BENEFITS:
The fringe benefits are charged using a rate(s). Over/under recoveries from actual costs are adjusted in current or future periods. The directly claimed fringe benefits are in accordance with federal guidelines.

TREATMENT OF PAID ABSENCES:
Vacation, holiday, sick leave pay and other paid absences are included in salaries and wages and are claimed on subgrants, subcontracts and other agreements as part of the normal cost for salaries and wages. Separate claims for the costs of these paid absences are not made.

The indirect cost reimbursement rates include the cost of administrative and clerical staff, except those staff costs specifically approved by the awarding agencies as appropriate direct charges to major projects or activity. The cost of specifically approved administrative and clerical costs identified with major projects or activity are included as part of the direct cost base.
SECTION III: GENERAL

A. LIMITATIONS:
The rates in this Agreement are subject to any statutory or administrative limitations and apply to a given subgrant, subcontract or other agreement only to the extent that funds are available. Acceptance of the rates is subject to the following conditions:
(1) Only costs incurred by the organization were included in its indirect cost pool as finally accepted: such costs are legal obligations of the organization and are allowable under the governing cost principles; (2) The same costs that have been treated as indirect costs are not claimed as direct costs; (3) Similar types of costs have been accorded consistent accounting treatment; and (4) The information provided by the organization which was used to establish the rates is not later found to be materially incomplete or inaccurate by the University of Minnesota or the Federal Government. In such situations the rate(s) would be subject to renegotiation at the discretion of the University of Minnesota or the Federal Government.

B. ACCOUNTING CHANGES
This Agreement is based on the accounting system purported by the organization to be in effect during the Agreement period. Changes to the method of accounting for costs which affect the amount of reimbursement resulting from the use of this Agreement require notification of such change to the authorized representative of the University and the prior approval of such authorized representative shall be required with respect to any change in the indirect cost rate caused by such accounting method change. Such changes include, but are not limited to, changes in the charging of a particular type costs from indirect to direct. Failure to obtain approval may result in cost disallowances.

C. OTHER:
If any Federal subcontract, subgrant or other agreement is reimbursing indirect costs by a means other than final and approved rate(s) in this Agreement, the organization should (1) credit such costs to the affected programs, and (2) apply the approved rate(s) to the appropriate base to identify the proper amount of indirect costs allocable to these programs in accordance with federal guidelines.

BY THE ORGANIZATION
Centers for Disease Control Foundation

(SIGNATURE)
Wiley T. Moutahabk

(NAME)
V.P. Finance and Administration

(TITLE)
6-27-02

(DATE)

BY THE INSTITUTION
ON BEHALF OF THE FEDERAL GOVERNMENT:
University of Minnesota
Office of Sponsored Projects Administration

(SIGNATURE)
Edward F. Wink

(NAME)
Associate Vice President for Research

(TITLE)
June 17, 2002

(DATE)

University of Minnesota Representative:
Edward Wink Telephone: (612) 624-1648